

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Leif Hakan Claesson

APPLICATION NO: 09/669,069

FILING DATE: September 22, 2000

TITLE: "TECHNIQUE FOR IMPROVING AUDIO CLARITY
AND INTELIGIBILITY AT REDUCED BIT RATES
OVER A PACKET SWITCHING NETWORK"

ATTORNEY DOCKET NO.: Octiv-01

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This is in response to the NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION, mailed November 6, 2000 in regards to the
above-captioned application. A response is due by January 6, 2000.


Enclosed is a check in the amount of \$144.00 (Check No.1359) for the remainder
of the filing fees for the above-referenced non-provisional patent application. As an
amount of \$380.00 was paid by the applicant at the time of filing of the subject
application, the remainder of the total amount of \$524.00, which is \$144.00 is being paid
herewith and should be sufficient to cover the filing costs.

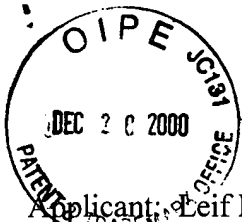
If the examiner has questions regarding this case, he/she is invited to telephone
Applicant(s) undersigned attorney at the phone number below.

Dated: December 20, 2000

Respectfully submitted,

Law Offices of Imam & Associates
Two North Second St., Suite 1100
San Jose, CA 95113
Telephone (408) 271-8752
Facsimile (408) 271-8727

By 
Maryam Imam
Reg. No. 38,190



12-2200

SECTOR 3
2600H
Drawing

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leif Hakan Claesson
Serial No: 09/669,069

Atty Docket: OCTIV-01
Filed: 9/22/2000

Title: TECHNIQUE FOR IMPROVING AUDIO CLARITY AND INTELLIGIBILITY AT REDUCED BIT RATES OVER A PACKET SWITCHING NETWORK

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

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Technology Center 2600


TRANSMITTAL FOR RESPONSE TO FILE MISSING PARTS

Enclosed herewith for filing in the above-identified application are the following:

- ☒ Response to Notice to File Missing Parts of Non-Provisional Application (Filing Date Granted);
 - ☒ Check No.1359 in the amount of \$144.00, as payment of the required fees;
 - ☒ Executed Declaration and Power of Attorney (2 pgs.);
 - ☐ Verified Statement Claiming Small Entity;
 - ☒ Postcard for date-stamped return as confirmation of receipt of these items; and
 - ☒ Notice to File Missing Parts of Non-Provisional Application.
 - ☒ Executed Assignment w/ Recordation Cover Sheet (3 pgs.)
 - ☒ Petition under 37CFR1.182 (2 pgs.)
 - ☒ Check No. 1360 in the amount of \$130.00, as payment of the 1.182 petition file.
- Total fees due in this application amount are \$174.00

Respectfully submitted,

Date: December 20, 2000

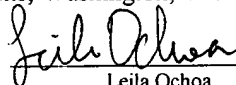

Maryam Imam

Maryam Imam, Esq. Reg. No. 38190
Two North Second Street, Ste. 1100
San Jose, CA 95113
Tel: (408) 271-8752
Fax: (408) 271-8727

CERTIFICATE OF MAILING (37CFR 1.8 (a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on December 20, 2000 with the U.S. Postal Service "Express Mail Post Office to Addressee" as Express Mail Number EL749327722US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: December 20, 2000


Leila Ochoa

EL749327722US



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/669,069 | 09/22/2000 | Leif Hakan Claesson | Oktiv-01 |

Maryam Imam
Law Offices of Imam & Associates
Suite 1100
Two North Second St
San Jose, CA 95113



FORMALITIES LETTER



OC000000005534768

Date Mailed: 11/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$39.
 - \$39 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

12/29/2000 ETULU1 00000040 09669069

- The balance due by applicant is \$ 69.

| | |
|-----------|-----------|
| 01 FC:202 | 40.00 OP |
| 02 FC:205 | 65.00 OP |
| 03 FC:122 | 130.00 OP |
| 04 FC:299 | 39.00 OP |

The following item(s) appear to have been omitted from the application:

- Figure(s) Fig 3a,3b 5,6,7 and 8 described in the specification.

Adjustment date: 04/19/2001 BHABTEW
12/29/2000 ETULU1 00000040 09669069
-39.00 OP

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing

date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

Albert Gessnerbe
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE